

# MA210 - Class 4

17. (a) Suppose we roll a six-sided die (English: one die, many dice... even if not all native speakers know this). Let  $d_n$  be the number of possible ways to roll a die so that the outcome is  $n$ .

Explain why the generating function of the sequence  $d_0, d_1, \dots$  is given by

$$f(x) = x + x^2 + x^3 + x^4 + x^5 + x^6.$$

- (b) Suppose that we roll four (distinguishable) dice. Let  $a_n$  be the number of throws such that the sum of outcomes is equal to  $n$ .

Explain why the generating function of the sequence  $a_0, a_1, \dots$  is given by

$$g(x) = (x + x^2 + x^3 + x^4 + x^5 + x^6)^4.$$

- (c) Now let  $b_n$  be the number of throws with any number of (distinguishable) dice such that the sum of outcomes is equal to  $n$ .

Explain why the generating function of the sequence  $b_0, b_1, \dots$  is given by

$$h(x) = \sum_{n=0}^{\infty} (x + x^2 + x^3 + x^4 + x^5 + x^6)^n.$$

Prove that  $h(x) = (1 - x - x^2 - x^3 - x^4 - x^5 - x^6)^{-1}$ .

a) If we roll a die just once, we have at most one way to get to any number. Moreover we have a way to get to 1, 2, 3, ..., 6. So

$$d_n = \begin{cases} 1 & \text{if } n = 1, \dots, 6 \\ 0 & \text{otherwise.} \end{cases}$$

By definition, the generating function is  $x + x^2 + \dots + x^6$

- b) let  $d_n^{(i)} := \#$  ways to throw  $i$  dice such that the sum is equal to  $n$ .

CONSIDER  $d_n^{(2)}$  FIRST

$d_n^{(2)} = \#$  ways to get tot of  $n$  with two dice

$d_n^{(2)}$  when possible:

die 1	die 2
0	$n$
1	$n-1$
$\vdots$	$\vdots$
$n$	0

Since die 1 can get to the number  $k$  in  $d_k^{(1)}$  ways, we have

$$\underbrace{\quad \quad \quad}_{n \quad \dots \quad \dots}$$

$$d_m^{(2)} = \sum_{k=0}^m d_k^{(1)} \cdot d_{m-k}^{(1)}$$

By definition, this means that  $d_m^{(2)}$  is the convolution of  $d_m^{(1)}$  and  $d_m^{(1)}$ .

Moreover, we have the gen. fun. of  $d_m^{(1)}$  is  $f(x) = x + x^2 + \dots + x^6$ . By **CONVOLUTION THM** we get that the gen. fun. of  $d_m^{(2)}$  is  $f(x) \cdot f(x)$ .

Consider now  $d_m^{(3)}$

dice 1,2	die 3
n	0
n-1	1
⋮	z
0	⋮
	n

So we get  $d_m^{(3)} = \sum_{k=0}^m d_k^{(2)} d_{m-k}^{(1)}$

**INDUCTION** We can take  $i \geq 4$ , and consider. We have

dice 1,2,...,i-1	die i	therefore
n	0	$d_m^{(i)} = \sum_{k=0}^m d_k^{(i-1)} d_{m-k}^{(1)}$
n-1	1	
⋮	z	
0	⋮	
	n	

We can once again use convolution theorem.

c)  $b_n = d_n^{(1)} + d_n^{(2)} + d_n^{(3)} + \dots = \sum_{i=1}^{\infty} d_n^{(i)}$ . We have

that the gen. fun. of  $b_n$  is the sum of the gen. functions of  $d_n^{(i)}$ . Which means

$$h(x) = \sum_{k=0}^{\infty} f^{(k)}(x) = \sum_{k=0}^{\infty} (x + \dots + x^6)^k = \frac{1}{1 - x - x^2 - \dots - x^6}$$

18. The British coin system has 1p, 2p, 5p, 10p, 20p, 50p, £1 = 100p, and £2 = 200p coins.

(a) Let  $a_n$  count the number of different ways that you can pay a sum of  $n$  pennies with 10p coins.

Find the generating function of the sequence  $a_0, a_1, \dots$

(b) Let  $b_n$  count the number of different ways that you can pay a sum of  $n$  pennies with 1p and 2p coins.

Find the generating function of the sequence  $b_0, b_1, \dots$  and determine the closed formula for  $b_n$ .

(c) Let  $c_n$  count the number of different ways that you can pay a sum of  $n$  pennies

(c) How many ways can you pay a sum of 10 pennies?

Find the generating function of the sequence  $c_0, c_1, \dots$

a) We have a situation similar to the dice situation earlier.

$$a_m = \begin{cases} 1 & m \text{ is a multiple of } 10 \\ 0 & \text{otherwise} \end{cases}$$

$$\text{So } f(x) = 1 + x^{10} + x^{20} + x^{30} + \dots = \frac{1}{1 - x^{10}}$$

Let  $a_m^{(k)}$  be the sequence that counts the way of paying using only coins of value  $k$ .

b) We have  $a_m^{(1,2)} = \begin{array}{c} \text{# pennies paid with} \\ \text{1 penny} \\ m \\ m-1 \\ m-2 \\ \vdots \\ 0 \end{array} \left| \begin{array}{c} \text{# pennies paid with} \\ \text{2 pennies} \\ 0 \\ 1 \\ 2 \\ \vdots \\ m \end{array} \right.$

So we have  $a_m^{(1,2)} = \sum_{k=0}^m a_k^{(1)} a_{m-k}^{(2)}$ . So by conv. thm.

$$\text{we get } f^{(1,2)}(x) = \frac{1}{1-x} \cdot \frac{1}{1-x^2}$$

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$$a_m^{(1,2)} = \begin{cases} \frac{1}{2}m + 1 & m \text{ even} \\ \frac{1}{2}(m+1) & m \text{ odd} \end{cases}$$

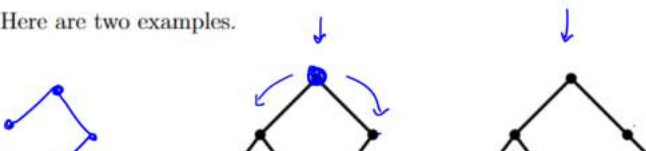
c) Similar to previous exercise.

A *binary tree* is something that is important in computer science (and algorithms in general).

This is an object that you can create as follows. First, draw a dot (which we call a *vertex* on the paper. Then apply the following procedure as often as you like.

Pick a vertex with no lines coming down from it, and draw either a line (which we call an *edge*) going down to a new vertex on the left, or going down to a new vertex on the right, or both. We call the first vertex the *root*. Each vertex other than the root has an edge to exactly one vertex above it, its *parent*, and zero, one or two vertices below it, its *children*.

Here are two examples.





These two binary trees are different — the root's right child has only a left child in the first example, and only a right child in the second.

Let  $C_n$  be the number of  $n$ -vertex binary trees. It's convenient to define  $C_0 = 1$ .

We want to find the generating function for  $C_n$ . We have

$$C_m = \sum_{i=0}^{m-1} C_i C_{m-i-1} \quad m > 0$$

But **conv. thm.** is in the form:  $a_m = \sum_{k=0}^m b_k c_{m-k}$ . So we

can define  $d_m := C_{m+1}$ . Now we have  $d_m = C_{m+1} = \sum_{i=0}^m C_i C_{m-i}$   
 so  $d_m$  is the convolution of  $C_m$  and  $C_m$ .

So let  $f$  be the gen. fun. of  $C_m$ . We get by conv. thm that  $f(x) \cdot f(x)$  is the gen. fun. of  $d_m$ .

$$\begin{aligned} f^2(x) &= \sum_{m=0}^{\infty} d_m x^m = \sum_{m=0}^{\infty} C_m x^m \\ &= \frac{1}{x} \sum_{m=0}^{\infty} C_{m+1} x^{m+1} \\ &= \frac{1}{x} \sum_{m=1}^{\infty} C_m x^m = \frac{1}{x} \left( \sum_{m=0}^{\infty} C_m x^m - 1 \right) \\ &= \frac{1}{x} (f(x) - 1). \end{aligned}$$

So we get  $f^2(x) = \frac{1}{x} (f(x) - 1)$ . Solving by  $f(x)$

yields (for  $x \neq 0$ )  $f(x) = \frac{1 \pm \sqrt{1-4x}}{2x}$

But  $f(0) = C_0 = 1$  and generating functions should be continuous at 0.

So we want  $\lim_{x \rightarrow 0} f(x) = f(0) = 1$ . So  $f(x) = \frac{1 - \sqrt{1-4x}}{2x}$

We can use this formula to get  $C_m$ .

Hint: use the series for  $\sqrt{1+y}$ .

You should get  $C_m = \frac{1}{m+1} \binom{2m}{m}$